

MATHEUS et al  
Serial No. 09/867,711

Atty Dkt: 2380-893  
Art Unit: 2664

**AMENDMENTS TO THE CLAIMS:**

This listing of claims will replace all prior versions, and listings, of claims in the application:

1. (Currently Amended) ~~Frequency~~ A frequency tracking device (~~FTD~~) for a receiver (~~RC~~) of a multi-carrier communication system (~~MC-SYS~~), for evaluating and correcting frequency deviations ( $f_{\text{off}}$ ) which are introduced into multi-carrier symbols when being transmitted between a transmitter multi-carrier filter bank (~~4~~; IFFT) and receiver multi-carrier filter bank (~~8~~; FFT), comprising:
  - a) a selector (~~SEL~~) adapted to receive a set of  $N$  complex data symbols output by the receiver multi-carrier filter bank (~~8~~; FFT) and  $N$  channel coefficients ( $C_{\text{est}}$ ) corresponding to each sub-carrier as estimated by a channel estimator (~~12~~) of said receiver (~~RC~~), where  $N$  is the number of used sub-carriers in the multi-carrier system (~~MC-SYS~~), and adapted to select, on the basis of the  $N$  channel coefficients ( $C_{\text{est}}$ ), a number  $M$  of sub-carriers corresponding to the  $M$  channel coefficients ( $C_{\text{est}}$ ) having the largest absolute values, where  $M \leq N$ ;
  - b) an evaluator (~~EVAL~~) adapted to determine, on the basis of the  $M$  selected sub-carriers and their corresponding  $M$  channel coefficients ( $C_{\text{est}}$ ), an estimate ( $f_{\text{off,est}}$ ) of the frequency deviation ( $f_{\text{off}}$ ) introduced into the multi-carrier symbols; and
  - c) a corrector (~~CORR1; CORR2~~) for correcting the frequency deviation introduced into the multi-carrier symbols on the basis of the determined frequency deviation estimate ( $f_{\text{off,est}}$ ).
2. (Currently Amended) ~~Frequency~~ A frequency tracking device (~~FTD~~) according to claim 1,  
wherein

MATHEUS et al  
Serial No. 09/867,711

Atty Dkt: 2380-893  
Art Unit: 2664

said selector ~~(SEL)~~ adaptively adjusts the number  $M$  at adjustment time intervals including at least one multi-carrier symbol duration.

3. (Currently Amended) ~~Frequency~~A frequency tracking device ~~(FTD)~~ according to claim 1,

wherein

said corrector ~~(CORR1; CORR2)~~ includes a first correction unit (CORR1) arranged upstream the receiver multi-carrier filter bank ~~(8)~~ and adapted to rotate each received multi-carrier symbol with a different phase shift depending on the frequency deviation estimate ( $f_{\text{off},\text{cst}}$ ) and the sample index ( $k$ ) within the multi-carrier symbol.

4. (Previously Presented) ~~Frequency~~A frequency tracking device ~~(FTD)~~ according to claim 1-~~or~~3, wherein

said corrector ~~(CORR1; CORR2)~~ includes a second correction unit ~~(CORR2)~~ arranged downstream of the receiver multi-carrier filter bank and adapted to rotate all data symbols output by the receiver multi-carrier filter bank with the same phase shift depending on the frequency deviation estimate ( $f_{\text{off},\text{est}}$ ).

5. (Currently Amended) ~~Frequency~~A frequency tracking device ~~(FTD)~~ according to claim 4,

wherein

said second correction unit ~~(CORR2)~~ performs a correction of the same set of  $N$  data symbols which are subjected to the selection by said selector ~~(SEL)~~.

6. (Currently Amended) ~~Frequency~~A frequency tracking device ~~(FTD)~~ according to claim 1,

wherein

MATHEUS et al  
Serial No. 09/867,711

Atty Dkt: 2380-893  
Art Unit: 2664

said corrector (~~CORR1; CORR2~~) includes:

a first correction unit (CORR1) arranged upstream the receiver multi-carrier filter bank (8) and adapted to rotate each received multi-carrier symbol with a different phase shift depending on the frequency deviation estimate ( $f_{\text{off,est}}$ ) and the sample index (k) within the multi-carrier symbol; and

a second correction unit (~~CORR2~~) arranged downstream of the receiver multi-carrier filter bank (8) and adapted to rotate all data symbols output by the multi-carrier filter bank (8) with the same phase shift depending on the frequency deviation estimate ( $f_{\text{off,est}}$ ).

7. (Currently Amended) ~~Frequency~~ A frequency tracking device (~~FTD~~) according to claim 1,

wherein

said evaluator (~~EVAL~~) is adapted to carry out a decision directed evaluation for said M sub-carriers.

8. (Currently Amended) ~~Frequency~~ A frequency tracking device (~~FTD~~) according to claim 1, wherein

said evaluator (~~EVAL~~) is adapted to carry out a pilot carrier aided evaluation for said M sub-carriers.

9. (Currently Amended) ~~Frequency~~ A frequency tracking device (~~FTD~~) according to claim 1, wherein

said evaluator (~~EVAL~~) is adapted to carry out a combination of a decision directed evaluation and a pilot carrier aided evaluation for said M subcarriers.

MATHEUS et al  
Serial No. 09/867,711

Atty Dkt: 2380-893  
Art Unit: 2664

10. (Currently Amended) ~~Frequency~~ A frequency tracking device (FTD) according to claim 1,

wherein

the number of selected sub-carriers is  $M=N/4$  to  $M=N/3$  where  $N$  is the number of used subcarriers.

11. (Currently Amended) ~~Frequency~~ A frequency tracking device (FTD) for a receiver (RC) of a multi-carrier communication system (MC-SYS), for evaluating and correcting frequency deviations ( $f_{\text{off}}$ ) which are introduced into multi-carrier symbols when being transmitted between a transmitter multi-carrier filter bank (4; IFFT) and receiver multi-carrier filter bank (8; FFT), comprising:

a) an evaluator (~~EVAL~~) adapted to receive a set of  $N$  complex data symbols output by the receiver multi-carrier filter bank (8; FFT) and  $N$  channel coefficients ( $C_{\text{est}}$ ) corresponding to each sub-carrier as estimated by a channel estimator (12) of said receiver (RC), where  $N$  is the number of used sub-carriers in the multi-carrier system (MCSYS), and to determine, on the basis of  $N$  sub-carriers and their corresponding  $N$  channel coefficients ( $C_{\text{est}}$ ), an estimate ( $f_{\text{off,est}}$ ) of the frequency deviation ( $f_{\text{off}}$ ) introduced into the multi-carrier symbols, where  $N$  is the number of sub-carriers used in the transmitter;

b) a corrector (~~CORR1; CORR2~~) for correcting the frequency deviation introduced into the multi-carrier symbols on the basis of the determined frequency deviation estimate ( $f_{\text{off,est}}$ ); and

c) wherein said corrector (~~CORR1; CORR2~~) comprises a corrector unit (~~CORR2~~) arranged downstream of the receiver multi-carrier filter bank (8) and adapted to rotate all data symbols output by the receiver multi-carrier filter bank (8) with the same phase shift depending on the frequency deviation estimate ( $f_{\text{off,est}}$ ).

MATHEUS et al  
Serial No. 09/867,711

Atty Dkt: 2380-893  
Art Unit: 2664

12. (Currently Amended) ~~Frequency~~A frequency tracking device (FTD) according to claim 11,

wherein

said corrector (~~CORR1; CORR2~~) further includes a correction unit (CORR1) arranged upstream the receiver multi-carrier filter bank (8) and adapted to rotate each received multi-carrier symbol with a different phase shift depending on the frequency deviation estimate ( $f_{\text{off,est}}$ ) and the sample index (k) within the multi-carrier symbol.

13. (Currently Amended) ~~Frequency~~A frequency tracking device (FTD) according to claim 11, further comprising

a selector (~~SEL~~) adapted to receive a set of N complex data symbols output by the receiver multi-carrier filter bank (~~8; FFT~~) and N channel coefficients ( $C_{\text{est}}$ ) corresponding to each sub-carrier as estimated by a channel estimator (~~12~~) of said receiver (RC), where N is the number of used sub-carriers in the multi-carrier system (MCSYS), and adapted to select, on the basis of the N channel coefficients ( $C_{\text{est}}$ ), a number M of sub-carriers corresponding to the M channel coefficients ( $C_{\text{est}}$ ) having the largest absolute values, where  $M \leq N$ ; and wherein

said evaluator (~~EVAL~~) is adapted to determine, on the basis of the M selected sub-carriers and their corresponding M channel coefficients ( $C_{\text{est}}$ ), an estimate ( $f_{\text{off,est}}$ ) of the frequency deviation ( $f_{\text{off}}$ ) introduced into the multi-carrier symbols.

14. (Previously Presented) Receiver (~~RC~~) of a multi-carrier communication system (~~MC-SYS~~), comprising reception means (RM) for receiving multi-carrier symbols transmitted from a transmitter (TR) via a transmission channel, a receiver multi-carrier filter bank for converting said multi-carrier symbols into complex data symbols, a data symbol sink for receiving said data symbols and a frequency tracking device (~~FTD~~) in accordance with claim 1 ~~one or more of claims 1-10 or one or more of claims 11-13.~~

MATHEUS et al  
Serial No. 09/867,711

Atty Dkt: 2380-893  
Art Unit: 2664

15. (Currently Amended) A multi-carrier communication system (~~MC-SYS~~), comprising at least one transmitter (TR) including a data symbol source (~~1-3~~) generating complex data symbols, a transmitter multi-carrier filter bank (~~4~~) for generating multi-carrier symbols from said complex data symbols and a transmission means (~~TR~~) for transmitting said multi-carrier symbols onto a transmission channel (~~6~~), and at least one receiver (~~RC~~) in accordance with claim 14.

16. (Currently Amended) A method for evaluating and correcting frequency deviations ( $f_{off}$ ) which are introduced into multi-carrier symbols when being transmitted between a transmitter multi-carrier filter bank (~~4~~; ~~IFFT~~) and receiver multi-carrier filter bank (~~8~~; ~~FFT~~), comprising the steps of:

a) determining (~~S1; S2~~), in a receiver (~~RC~~) of a multi-carrier communication system (~~MC-SYS~~), a set of  $N$  complex data symbols output by the receiver multi-carrier filter bank (~~8~~; ~~FFT~~) and  $N$  channel coefficients ( $C_{est}$ ) corresponding to each sub-carrier as estimated by a channel estimator (~~12~~) of said receiver (~~RC~~), where  $N$  is the number of used sub-carriers in the multi-carrier system (~~MCSYS~~); and

b) selecting (~~S3~~), on the basis of the  $N$  channel coefficients ( $C_{est}$ ), a number  $M$  of sub-carriers corresponding to the  $M$  channel coefficients ( $C_{est}$ ) having the largest absolute values, where  $M \leq N$ ;

c) determining (~~S4~~), on the basis of the  $M$  selected sub-carriers and their corresponding  $M$  channel coefficients ( $C_{est}$ ), an estimate ( $f_{off,est}$ ) of the frequency deviation ( $f_{off}$ ) introduced into the multi-carrier symbols; and

d) correcting (~~S5~~) the frequency deviation introduced into the multi-carrier symbols on the basis of the determined frequency deviation estimate ( $f_{off,est}$ ).

17. (Currently Amended) A method according to claim 16,

MATHEUS et al  
Serial No. 09/867,711

Atty Dkt: 2380-893  
Art Unit: 2664

wherein

said correction step (S5) includes a first correction (~~CORR1~~) carried out upstream a receiver multi-carrier filter bank (8) in which each received multi-carrier symbol is rotated with a different phase shift depending on the frequency deviation estimate ( $f_{\text{off,est}}$ ) and the sample index (k) within the multi-carrier symbol.

18. (Currently Amended) A method according to claim 16,  
wherein

said correction step (S5) includes a second correction (~~CORR2~~) carried out downstream a receiver multi-carrier filter bank (8) in which all data symbols output by the receiver multi-carrier filter bank (8) are corrected with the same phase shift depending on the frequency deviation estimate ( $f_{\text{off,est}}$ ).

19. (Currently Amended) A method according to claim 16,  
wherein

said correction step (S4) includes:

a first correction (~~CORR1~~) carried out upstream a receiver multi-carrier filter bank (8) in which each received multi-carrier symbol is rotated with a different phase shift depending on the frequency deviation estimate ( $f_{\text{off,est}}$ ) and the sample index (k) within the multi-carrier symbol; and

a second correction (~~CORR2~~) carried out downstream a receiver multi-carrier filter bank (8) in which all data symbols output by the receiver multi-carrier filter bank (8) are corrected with the same phase shift depending on the frequency deviation estimate ( $f_{\text{off,est}}$ ).

20. (Currently Amended) A method for evaluating and correcting frequency deviations ( $f_{\text{off}}$ ) which are introduced into multi-carrier symbols when being transmitted

MATHEUS et al  
Serial No. 09/867,711

Atty Dkt: 2380-893  
Art Unit: 2664

between a transmitter multi-carrier filter bank (4; IFFT) and receiver multi-carrier filter bank (8; FFT), comprising the steps of:

a) determining ( $S1'$ ,  $S2'$ ), in a receiver (RC) of a multi-carrier communication system (MC-SYS), a set of N complex data symbols output by the receiver multi-carrier filter bank (8; FFT) and N channel coefficients ( $C_{est}$ ) corresponding to each sub-carrier as estimated by a channel estimator (12) of said receiver (RC), where N is the number of used sub-carriers in the multi-carrier system (MC-SYS), and

b) determining ( $S3'$ ,  $S4'$ ), on the basis of N sub-carriers and their corresponding N channel coefficients ( $C_{est}$ ), an estimate ( $f_{off,est}$ ) of the frequency deviation ( $f_{off}$ ) introduced into the multi-carrier symbols, where N is the number of sub-carriers used in the transmitter; and

c) correcting ( $S5'$ ) the frequency deviation ( $f_{off}$ ) introduced into the multi-carrier symbols on the basis of the determined frequency deviation estimate ( $f_{off,est}$ ); and

e)d) wherein said correction step ( $S3'$ ) comprises a correction (CORR2) carried out downstream of the receiver multi-carrier filter bank (8) in which all data symbols output by the receiver multi-carrier filter bank (8) are rotated with the same phase shift depending on the frequency deviation estimate ( $f_{off,est}$ ).

21. (Currently Amended) A method according to claim 20,  
wherein

said correction step ( $S5'$ ) further includes a correction step (CORR1) carried out upstream the receiver multi-carrier filter bank (8) in which each received multi-carrier symbol is rotated with a different phase shift depending on the frequency deviation estimate ( $f_{off,est}$ ) and the sample index (k) within the multi-carrier symbol.

22. (Currently Amended) A method according to claim 20,  
further including the steps of.



MATHEUS et al  
Serial No. 09/867,711

Atty Dkt: 2380-893  
Art Unit: 2664

selecting ~~(S2')~~, on the basis of the N channel coefficients ( $C_{est}$ ), a number M of sub-carriers corresponding to the M channel coefficients ( $C_{est}$ ) having the largest absolute values, where  $M \leq N$ ; and wherein

determining ~~(S4')~~, on the basis of the M selected sub-carriers and their corresponding M channel coefficients ( $C_{est}$ ), an estimate ( $f_{off, set}$ ) of the frequency deviation ( $f_{off}$ ) introduced into the multi-carrier symbols.

PLEASE ADD NEW CLAIMS AS FOLLOWS:

23. {NEW} A receiver of a multi-carrier communication system, comprising reception means for receiving multi-carrier symbols transmitted from a transmitter via a transmission channel, a receiver multi-carrier filter bank for converting said multi-carrier symbols into complex data symbols, a data symbol sink for receiving said data symbols and a frequency tracking device in accordance with claim 11.

24. {NEW} A multi-carrier communication system, comprising at least one transmitter (TR) including a data symbol source generating complex data symbols, a transmitter multi-carrier filter bank for generating multi-carrier symbols from said complex data symbols and a transmission means for transmitting said multi-carrier symbols onto a transmission channel, and at least one receiver in accordance with claim 23.

25. (New) A frequency tracking device according to claim 1, wherein the number of selected sub-carriers M is determined using the N channel coefficients estimated by the channel estimator, a noise bandwidth for a loop gain in a phase locked of the corrector; a noise variance of additive noise; and, a variance of phase error.

MATHEUS et al  
Serial No. 09/867,711

Atty Dkt: 2380-893  
Art Unit: 2664

26. (New) The frequency tracking device according to claim 1, wherein the number of selected sub-carriers M is determined using

$$\sigma_{\phi_{err}}^2 = \frac{\sigma_n^2}{2} B_n(a) \frac{1}{M^2} \sum_{m=0}^{M-1} \frac{1}{|C_m(i)|^2 |d_m(i)|^2}$$

wherein

$C_m(i)$  are the channel coefficients estimated by the channel estimator;

$d_m(i)$  is transmitted data, mapped on to subcarrier m;

$B_n(a)$  is a noise bandwidth for a loop gain a in a PLL tracking scheme of the corrector;

$\sigma_n^2$  is a noise variance of additive noise; and,

$\sigma_{\phi_{err}}^2$  is a variance of the phase error.

27. (New) The frequency tracking device according to claim 1, wherein the number of selected sub-carriers M is determined using

$$\sigma_{\phi_{err}}^2 = \frac{\sigma_n^2}{2} B_n(a) \frac{1}{\sum_{m=1}^M |d_m(i)|^2 |C_m(i)|^2}$$

wherein

$C_m(i)$  are the channel coefficients estimated by the channel estimator;

$d_m(i)$  is transmitted data, mapped on to subcarrier m;

$B_n(a)$  is a noise bandwidth for a loop gain a in a PLL tracking scheme of the corrector;

$\sigma_n^2$  is a noise variance of additive noise; and,

$\sigma_{\phi_{err}}^2$  is a variance of the phase error.